

## WITHHOLDING STATEMENT

Under Section 6.02 of Qualified Intermediary Withholding Agreement

- |                           |                                                |  |
|---------------------------|------------------------------------------------|--|
| 1. Issued to:             | Our Customer                                   |  |
| 2. QI Name:               | SBI Neotrade Securities Co., Ltd.              |  |
| 3. QI EIN:                | 98-0243650                                     |  |
| 4. Country Code:          | JA                                             |  |
| 5. GIIN:                  | RC5M41.99999.SL.392                            |  |
| 6. Chapter 3 Status Code: | 35 (Qualified Derivative Dealer)               |  |
| 7. Chapter 4 Status Code: | 06 (Participating FFI – Reporting Model 2 FFI) |  |
| 8. Date:                  | 9/9/2022                                       |  |
| 9. Statement:             |                                                |  |

- ◆ This Withholding Statement is an integral part of Form W-8IMY to which this Withholding Statements now attached.
- ◆ The home office (branch) of SBI Neotrade Securities Co., Ltd. is acting as QDD for all of the accounts listed below.
- ◆ SBI Neotrade Securities Co., Ltd. assume primary NRA withholding responsibility nor primary form 1099 or backup withholding responsibility for any of the accounts listed herein.
- ◆ The following sets forth the U.S. withholding rates pools relating to the U.S. source income received in the accounts. Unless otherwise indicated, all of the assets in such account rate are in the withholding rate pool specified.
- ◆ SBI Neotrade Securities Co., Ltd. will update the withholding statement as often as necessary to enable the withholding agent to withhold at the appropriate rate on each payment and to report such income.

10. Withholding Rate Pool:

Accounts Ref. No.	Name of Account	Type of Income	Type of Payee	Payee Allocation	Tax Rate
Account opened to start CFD trading	SBI Neotrade Securities Co., Ltd.	Dividends	<b>Chapter 4 Withholding Rate Pool</b>		
			Nonparticipating FFIs		30%
			Recalcitrant Accounts		30%
			U.S. Payees		0%
			<b>Chapter 3 Withholding Rate Pool</b>		
			0% Rate Pool	100%	0%
			10% Rate Pool		10%
		30% Rate Pool		30%	
		<b>Chap. 61 Withholding</b>		24%	
		Dividend Equivalent	<b>Chapter 4 Withholding Rate Pool</b>		
			Nonparticipating FFIs		30%
			Recalcitrant Accounts		30%
			U.S. Payees		0%
			<b>Chapter 3 Withholding Rate Pool</b>		
0% Rate Pool	100%		0%		
10% Rate Pool			30%		
30% Rate Pool		30%			
<b>Chap. 61 Withholding</b>		24%			

Kazuyuki Ishikawa, President  
Name and title of authorized signatory

Kazuyuki Ishikawa, 9/9/2022  
Authorized Signature

Any tax advice included in this written or electronic communication was not intended or written to be used, and it cannot be used by the taxpayer, for the purpose of avoiding any penalties that may be imposed by any governmental taxing authority or agency.